ENGINEERING AND RELATED SERVICES MARCH 9, 2012

PURCHASE ORDER NO. 2000017460 PUBLIC INTEREST FINDING STATEWIDE

Under Authority granted by Title 48 of Louisiana Revised Statutes, the Louisiana Department of Transportation and Development (DOTD) hereby issues a Request for Qualification Statements (RFQ) on DOTD Form 24-102 (24-102), "Professional Engineering and Related Services", revised November 2011, from Consulting Firms (Consultant) to provide engineering and related services. All requirements of Louisiana Professional Engineering and Land Surveying (LAPELS) Board must be met at the time of submittal. One Prime-Consultant will be selected for this Contract.

Project Manager – Mr. Lei Wang

All inquiries concerning this advertisement should be sent in writing to Alan.Dale@LA.gov.

PROJECT DESCRIPTION

The selected Consultant will perform a study to determine if it is in the best interest of the public to maintain traffic control device specifications that will result in sole source procurement for the following: traffic signal controllers, video detection, traffic signal cabinets, and traffic signal software. The Public Interest Finding (PIF) will consist of a written document outlining the basis for the request and any supporting documentation such as cost comparisons/effectiveness, discussions of product compatibility, logistical concerns, etc. The cost comparisons will consider purchase price, technician/engineering training costs over a five year period, compatibility of legacy systems and software, and possibly additional inventory cost related to additional warehousing space. One Consultant shall be selected for this contract. The Consultant may not subcontract the specified services without the written agreement of DOTD.

SCOPE OF SERVICES

The Consultant shall prepare a proposed outline for the Public Interest Finding as well as a list of all assumptions considered. A meeting with the DOTD Section 45 and FHWA will be held to review and approve the outline.

Develop comparison costs from internet searches on compatible state and city DOT bids on like items. Additionally, compatible DOTs could be contacted for such information on costs as well as vendors/manufacturers. Review current DOTD cost related to procurement, warehousing, maintenance, design of traffic control devices, training cost

for engineers and technicians, and software as they are used on construction projects. Analysis will be made concerning the need for additional software and connectivity issues with different manufacturers. Collect information on legacy systems including number systems installed and warehoused, percentage of total installations, value of equipment, number of systems in closed-loop systems, number of DOTD owned software licenses, and the estimated value of the legacy equipment and software. A comparison will be made over a five year projected implementation between the cost incurred to maintain a sole source and the cost that would be incurred if the specifications were open.

Based on the above, prepare a draft PIF document for review by the DOTD and FHWA. Attend a meeting with Section 45 and FHWA to discuss the draft PIF document. Upon receipt of comments, the Consultant shall submit the final PIF document to Section 45.

ELECTRONIC DELIVERABLES

The Consultant hereby agrees to produce electronic deliverables in conformance with "DOTD Software and Deliverable Standards for Electronic Plans" as outlined at http://www.dotd.louisiana.gov/highways/project_devel/design/electronic_standards_disclaimer.as_p.
The Consultant shall download and apply the latest CAD standards. The Consultant hereby agrees to install incremental updates to software and CAD standards as instructed by the Project Manager. Such updates will not have a significant impact on the development time or delivery date for project plans, or require the Consultant to purchase additional software. Prior to proceeding with plan development, the Consultant shall contact the Project Manager for any special instructions regarding updates to standards or project-specific requirements if this information has not already been provided.

In the event that any electronic standard conflicts with written documentation, including DOTD plan-development manuals, the electronic standard typically governs. The Consultant is responsible for contacting the Project Manager should questions arise.

Plan deliveries shall be made on CD or DVD media and labeled with media-compatible indelible ink on separate lines as follows:

State Project Number

"Final Plans Submittal", "60% ACP Submittal" (or other milestone)

"Electronic Deliverables"

Consultant Firm Name

The CD/DVD shall be delivered with a signed cover letter that includes, among the formalities, a deliverable "hash" code that is documented in a report generated by the ControlCAD Indexer Submittal tool. The hash code is used to verify that the CD is authentic. At any stage of the plan development process, the Project Manager may require plan delivery by other methods including, but not limited to, upload to the DOTD ProjectWise repository.

The prime Consultant is responsible for ensuring that Sub-Consultants are prepared to produce electronic deliverables in conformance with DOTD electronic standards for plans.

QUALITY CONTROL/QUALITY ASSURANCE

The DOTD requires the Consultant to develop a Quality Control/Quality Assurance program; in order to provide a mechanism by which all contracted services can be subject to a systematic and consistent review. Consultants must ensure quality and adhere to established design policies, procedures, standards, and guidelines in the preparation and review of all design products. The DOTD shall provide limited input and technical assistance to the Consultant.

CONTRACT TIME

The Consultant shall proceed with the services specified herein after the execution of this Contract and upon written Notice-to-Proceed (NTP) from the DOTD and shall be completed within **120 calendar days**, which includes review time. The delivery schedule for all project deliverables shall be established by the Project Manager.

COMPENSATION

Compensation to the Consultant for services rendered in connection with this Contract will be a non-negotiated lump sum in the amount of \$40,000.

REFERENCES

All services and documents will meet the standard requirements as to format and content of the DOTD; and will be prepared in accordance with the latest applicable editions, supplements and revisions of the following:

- 1. AASHTO Standards, ASTM Standards or DOTD Test Procedures
- 2. DOTD Location and Survey Manual
- 3. DOTD Roadway Design Procedures and Details
- 4. DOTD Hydraulics Manual
- 5. DOTD Standard Specifications for Roads and Bridges
- 6. Manual of Uniform Traffic Control Devices
- 7. DOTD Traffic Signal Design Manual
- 8. National Environmental Policy Act (NEPA)
- 9. National Electric Safety Code
- 10. National Electric Code (NFPA 70)
- 11. DOTD Environmental Impact Procedures (Vols. I-III)
- 12. Policy on Geometric Design of Highways and Streets
- 13. Construction Contract Administration Manual
- 14. Materials Sampling Manual
- 15. DOTD Bridge Design Manual
- 16. Consultant Contract Services Manual
- 17. Geotechnical Engineering Services Document
- 18. Bridge Inspectors Reference Manual
- 19. DOTD Stage 1 Manual of Standard Practice

20. Code of Federal Regulations 29 CFR 1926 (OSHA)

MINIMUM PERSONNEL REQUIREMENTS

- 1. At least one Principal of the firm must be a Professional Engineer registered in the State of Louisiana.
- 2. At least one principal or responsible member of the firm shall be a PTOE and Professional Engineer registered in the state of Louisiana with a minimum of five years experience in traffic signal operations or design with knowledge of signal timing, signal design and signal specifications.

Certifications of Compliance must be submitted with and made part of the Consultants DOTD Form 24-102 for all Personnel Requirements listed herein.

EVALUATION CRITERIA

The general criteria to be used by DOTD (when applicable) in evaluating responses for the selection of a Consultant to perform these services are:

- 1. Consultant's firm experience on similar projects, weighting factor of 3;
- 2. Consultant's personnel experience on similar projects, weighting factor of 4;
- 3. Consultant's firm size as related to the estimated project cost, weighting factor of 3;
- 4. Consultant's past performance on similar DOTD projects, weighting factor of 6; **
- 5. Consultant's current work load with DOTD, weighting factor of 5;
- 6. Location where the work will be performed, weighting factor of 4; *
- * Location will be based from Marksville, Louisiana.
- ** The Traffic Analysis and Design (TR) performance rating will be used for this project.

Complexity Level (**moderate**)

Consultants will be evaluated as indicated in Items 1-6. The evaluation will be by means of a point-based rating system. Each of the above criteria will receive a rating on a scale of 0-4. The rating will then be multiplied by the corresponding weighting factor. The firm's rating in each category will then be added to arrive at the Consultant's final rating.

If Sub-Consultants are used the Prime Consultant must perform a minimum of 51% of the work for the overall project. Each member of the Consultant/Team will be evaluated on their part of the contract, proportional to the amount of their work. The individual team member ratings will then be added to arrive at the Consultant/Team rating.

Communication Protocol

DOTD's Project Evaluation Team will be responsible for performing the above described evaluation, and will present a short-list of the three (if three are qualified) highest rated Consultants to the Secretary of the DOTD. The Secretary will make the final selection.

Below are the proposed Team members. DOTD may substitute for any reason provided the members meet the requirements of R.S. 48:291.

- 1. Alan Dale Ex officio
- 2. Lei Wang Project Manager
- 3. Ed Courville
- 4. Chris Ewing
- 5. Steve McCarroll
- 6. Jody Colvin

Rules of Contact (Title 48 Engineering and Related Services)

These rules are designed to promote a fair, unbiased, legally defensible selection process. The LA DOTD is the single source of information regarding the Contract selection. The following rules of contact will apply during the Contract selection process and will commence on the date of advertisement and cease at the contract execution of the selected firm. Contact includes face-to-face, telephone, facsimile, Electronic-mail (E-mail), or formal written communications. Any contact determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of the submittal (24-102):

- A. The Consultant shall correspond with the LA DOTD regarding this advertisement only through the LA DOTD Consultant Contracts Services Administrator;
- B. The Consultant, nor any other party on behalf of the Consultant, shall not contact any LA DOTD employees, including but not limited to, department heads; members of the evaluation teams; and any official who may participate in the decision to award the contract resulting from this advertisement except through the process identified above. Contact between Consultant organizations and LA DOTD employees is allowed during LA DOTD sponsored one-on-one meetings;
- C. Any communication determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of submittal, at the sole discretion of the LA DOTD;
- D. Any official information regarding the project will be disseminated from the LA DOTD'S designated representative on the LA DOTD website. Any official correspondence will be in writing;
- E. The LA DOTD will not be responsible for any verbal exchange or any other information or exchange that occurs outside the official process specified herein.

By submission of a response to this RFQ, the Consultant agrees to the communication protocol herein.

CONTRACT REQUIREMENTS

The selected Consultant will be required to execute the contract within 10 days after receipt of the contract.

INSURANCE - During the term of this contract, the Consultant will carry professional liability insurance in the amount of \$1,000,000. The Prime-Consultant may require the Sub-Consultant(s) to carry professional liability insurance. This insurance will be written on a "claims-made" basis. Prior to executing the contract, the Consultant will provide a Certificate of Insurance to DOTD showing evidence of such professional liability insurance.

AUDIT - The selected Consultant/Team will allow the DOTD Audit Section to perform an annual overhead audit of their books, or provide an *independent* Certified Public Accountant (CPA) audited overhead rate. This rate must be developed using Federal Acquisition Regulations (FAR) and guidelines provided by the DOTD Audit Section. In addition, the Consultant/Team will submit semi-annual labor rate information, when requested by DOTD.

The selected Consultant/Team will maintain an approved Project Cost System, and segregate direct from indirect cost in their General Ledger. Pre-award and post audits, as well as interim audits, may be required. For audit purposes, the selected Consultant/Team will maintain accounting records for a minimum of five years after final contract payment.

Any Consultant currently under contract with the DOTD and who has not met all the audit requirements documented in the manual and/or notices posted on the DOTD Consultant Contract Services Website (www.dotd.louisiana.gov), will not be considered for this project.

SUBMITTAL REQUIREMENTS

One original (**stamped "original"**) and **five** copies of the DOTD Form 24-102 must be submitted to DOTD. All submittals must be in accordance with the requirements of this advertisement and the Consultant Contract Services Manual. Any Consultant/Team failing to submit any of the information required on the 24-102, or providing inaccurate information on the 24-102, will be considered non-responsive.

Any Sub-Consultants to be used, including Disadvantaged Business Enterprises (DBE), in performance of this Contract, must also submit a 24-102, which is completely filled out and contains all information pertinent to the work to be performed.

The Sub-Consultant's 24-102 must be firmly bound to the Consultant's 24-102. In Section 8, the Consultant's 24-102 must describe the **work elements** to be performed by the Sub-Consultant(s), and state the approximate **percentage** of each work element to be subcontracted to each Sub-Consultant.

Name(s) of the Consultant/Team listed on the 24-102, must precisely match the name(s) filed with the Louisiana Secretary of State, Corporation Division, and the Louisiana State Board of Registration for Professional Engineers and Land Surveyors.

The DOTD Form 24-102 will be identified with **Purchase Order No. 2000017460**, and will be submitted **prior to 3:00 p.m. CST** on **Monday**, **March 26**, **2012** by hand delivery or mail, addressed to:

Department of Transportation and Development Attn.: Ms. Alan Dale, P.E. Contracts Administrator 1201 Capitol Access Road, **Room 405-T** Baton Rouge, LA 70802-4438 or Telephone: (225) 379-1401

REVISIONS TO THE RFQ

DOTD reserves the right to revise any part of the RFQ by issuing an addendum to the RFQ at any time. Issuance of this RFQ in no way constitutes a commitment by DOTD to award a contract. DOTD reserves the right to accept or reject, in whole or part, all Qualification Statements submitted, and/or cancel this announcement if it is determined to be in DOTD's best interest. All materials submitted in response to this announcement become the property of DOTD, and selection or rejection of a submittal does not affect this right. DOTD also reserves the right, at its sole discretion, to waive administrative informalities contained in the RFQ.